ON EPSTEIN'S ZETA FUNCTION (I)

By S. Chowla and A. Selberg

INSTITUTE FOR ADVANCED STUDY, PRINCETON, N. J.

Communicated by H. Weyl, May 18, 1949

1. This paper contains a short account of results whose detailed proofs will be published later.

We define the function Z(s) by

$$Z(s) = \sum' (am^2 + bmn + cn^2)^{-s}$$
 (1)

where $s = \sigma + it(\sigma \text{ and } t, \text{ real}), \sigma > 1$, and the summation is for all integers m, n (each going from $-\infty$ to $+\infty$), while the dash indicates that m = n = 0 is excluded from the summation; further a and c are positive numbers while b is real and subject to $4ac - b^2 = \Delta > 0$.

It is well known that the function Z(s), defined for $\sigma > 1$ by (1), can be continued analytically over the whole s-plane, and satisfies a functional equation similar to the one satisfied by the Riemann Zeta Function. The function Z(s), thus defined, is a meromorphic function with a simple pole at s=1.

Deuring (Math. Ztschr., 37, 403-413 (1933)) obtained an important formula for Z(s). Deuring's work led Heilbronn (Quart. J. Maths., Oxford, 5, 150 (1934)) to the proof of the following famous conjecture of Gauss on the class-number of binary quadratic forms with a negative fundamental discriminant: let $h(-\Delta)$ denote the number of classes of binary quadratic forms of negative fundamental discriminant $-\Delta = b^2 - 4ac$, then

$$h(-\Delta) \to \infty$$
 as $\Delta \to \infty$ (2)

Again using the ideas of Heilbronn and Deuring, Siegel proved that

$$h(-\Delta) > \Delta^{1/2 - \epsilon} \left[\Delta > \Delta_0(\epsilon) \right] \tag{3}$$

which is a great advance on (2).

Our starting point is the formula:

$$Z(s) = 2\zeta(2s)a^{-s} + \frac{2^{2s}a^{s-1}\sqrt{\pi}}{\Gamma(s)\Delta^{s-1/2}}\zeta(2s-1)\Gamma(s-1/2) + Q(s)$$
 (4)

where

$$Q(s) = \frac{\pi^{s} \cdot 2^{s+\frac{s}{2}}}{a^{1/s} \Gamma(s) \Delta^{s/s-\frac{1}{4}}} \sum_{n=1}^{\infty} n^{s-\frac{1}{2}} \sigma_{1-2s}(n) \cos\left(\frac{n\pi b}{a}\right) \int_{0}^{\infty} \phi^{s-\frac{s}{2}} \exp\left\{-\frac{\pi n \Delta^{1/s}}{2a} (\phi + \phi^{-1})\right\} d\phi \quad (4)$$

Here $\sigma_k(n)$ denotes the sum of the kth powers of the divisors of n, and $\zeta(s)$ is Riemann's Zeta Function. The series for Q(s) is highly convergent. Taking a crude estimate of the series for Q(s) we obtain the formula of Deuring referred to above.

2. The formula (4) can be applied to the proof of the positiveness of certain Dirichlet L-functions at $s = \frac{1}{2}$. In fact we define for s > 0,

$$L_{p}(s) = \sum_{1}^{\infty} \left(\frac{n}{p}\right) n^{-s}$$

where (n/p) is Legendre's symbol defined as follows:

If $n \not\equiv 0 \pmod{p}$, then (n/p) = +1 if the congruence $x^2 \equiv n \pmod{p}$ is soluble; (n/p) = -1 if the congruence $x^2 \equiv n \pmod{p}$ is insoluble

If $n \equiv 0(p)$, then

$$(n/p) = 0$$

The positiveness of $L_p(s)$ for $0 < s \le 1$ was proved by S. Chowla (*Acta Arithmetica*, Band 1, 114 (1935)) in a large number of special cases, e.g., for p = 3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37, 41, 47, 53, 59, 61, 71, 73, 79, 83, 89, 97.

But no information was obtained in the cases p=43, 67, 163 (here the class number h(-p) is small). Heilbronn (Acta Arithmetica, Band 2, 212 (1937) proved that there are infinitely many primes p for which the method of Chowla gives no information. Curiously enough, the present method is more successful with precisely those cases like p=43, 67, 163 (class number h(-p)=1) where the previous method failed. In these three cases we obtain $L_p(^1/_2)>0$ (Rosser has recently, in an unpublished paper, settled the cases p=43 and p=67 by an entirely different method). That $L_p(^1/_2)>0$ in these cases, is not surprising, for if there is a prime p such that $L_p(^1/_2)<0$ then the extended Riemann hypothesis is false! These results are deduced from the following

THEOREM: If p is an odd prime >7 and if h(-p) = 1, then $(c = \pi/2)$

$$\zeta(^{1}/_{2})L_{p}(^{1}/_{2}) = \gamma + \log\left(\frac{\sqrt{p}}{8\pi}\right) + \frac{8\theta \cdot e^{-c\sqrt{p}}}{\pi\sqrt{p}(1 - e^{-c\sqrt{p}})}$$
 (5)

where γ is Euler's constant and θ is a real number such that $|\theta| < 1$. Remark that we can also show the positivity of $L_p(\sigma)$ on the whole stretch $1/2 \le \sigma \le 1$ by the same method, in the three cases p = 43, 67, 163. This can be done with a little more computation.

3. It is well known that we have

$$h(-d) = 1 \tag{6}$$

in the nine cases d = 3, 4, 7, 8, 11, 19, 43, 67, 163. Heilbronn and Linfoot

have proved that (6) has at most 10 solutions; further, that if (6) has a tenth solution then d must be very large indeed; in fact $d > 5.10^9$ (Lehmer).

It follows from (5) that if (6) has a tenth solution $d = d_0$, then

$$L_d(1/2) < 0$$
 $[d = d_0]$

It is known that d_0 is necessarily a prime, and is in fact, $\equiv 3 \pmod{8}$.

4. We apply (4) to a classical problem of the theory of elliptic functions. Write, as usual,

$$K = \int_0^{\pi/2} \frac{d\phi}{\sqrt{1 - k^2 \sin^2 \phi}} \qquad (0 < k < 1)$$

$$K' = \int_0^{\pi/2} \frac{d\phi}{\sqrt{1 - k'^2 \sin^2 \phi}} \qquad (k^2 + k'^2 = 1)$$

It has long been known that K can be calculated in finite terms whenever iK'/K is a number belonging to any of the imaginary quadratic fields $k(\sqrt{-1})$, $k(\sqrt{-2})$, $k(\sqrt{-3})$. This is deduced from the fact that K can be calculated in finite terms when

$$K'/K = \sqrt{n}$$
 $(n = 1, 2, 3)$

Thus when n = 1 i.e., $k = \frac{1}{\sqrt{2}}$, we have

$$K = \frac{\Gamma^2(^1/_4)}{4\sqrt{\pi}}$$

and there are similar results obtained (each by a different method!) for the cases n=2, 3. We prove that K can be calculated in finite terms whenever iK'/K is a number in an imaginary quadratic field. More precisely, our result is as follows: let d be a negative integer $\equiv 0$ or 1 (mod 4) and so that d or d/4 is a square-free integer. Further let h denote the class-number h(d) and

$$w = 6, 4, 2$$
 according as $d = -3, d = -4, d < -4$.

Finally (d/m) denotes the Kronecker symbol. Then,

THEOREM: Let iK'/K be a number from the field $k(\sqrt{d})$. Then we have

$$K = \lambda \sqrt{\pi} \left\{ \prod_{m=1}^{|d|} \Gamma \left(\frac{m}{|d|} \right)^{(d/m)} \right\}^{w/4h}$$
 (7)

where λ is an algebraic number.

A special case of (7) is the following:

THEOREM: If $K'/K = \sqrt{p}$ and if h(-p) = 1, then

$$\frac{2K}{\pi} = \frac{2^{1/2}(kk')^{-1/6}}{\sqrt{2\pi\rho}} \left\{ \frac{\pi}{\pi} \Gamma\left(\frac{\alpha}{\rho}\right) \right\}^{w/4}$$

$$\pi \Gamma\left(\frac{\beta}{\rho}\right)$$
(8)

where (p is a prime) and w = 6 if p = 3, w = 2 if p > 3; α runs through the $\frac{p-1}{2}$ quadratic residues of p that lie between 0 and p, while β runs through

the remaining $\frac{p-1}{2}$ numbers between 0 and p.

Specializing again to the case p = 7 we obtain in the usual notation for hypergeometric series:

$$F(^{1}/_{4}, ^{1}/_{4}, 1; ^{1}/_{64}) = \sqrt{\frac{2}{7\pi}} \left\{ \frac{\Gamma(^{1}/_{7})\Gamma(^{2}/_{7})\Gamma(^{4}/_{7})}{\Gamma(^{3}/_{7})\Gamma(^{5}/_{7})\Gamma(^{6}/_{7})} \right\}^{^{1/2}}$$

5. Let $G_d(s)$ denote the analytical continuation of the function defined for $\sigma > \sqrt[3]{2}$ by the series

$$\sum' (x^2 + y^2 + dz^2)^{-s}$$

From a formula similar to (4) it is deduced that Theorem: There exists a real number θ_d such that

$$G_d(\theta_d) = 0 \qquad [d > d_0]$$

where $\theta_d \to 0$ as $d \to \infty$, but $\theta_d \neq 0$.

ON A NEW METHOD IN ELEMENTARY NUMBER THEORY WHICH LEADS TO AN ELEMENTARY PROOF OF THE PRIME NUMBER THEOREM

By P. Erdös

DEPARTMENT OF MATHEMATICS, SYRACUSE UNIVERSITY

Communicated by P. A. Smith, April 16, 1949

1. Introduction.—In the course of several important researches in elementary number theory A. Selberg¹ proved some months ago the following asymptotic formula:

$$\sum_{p \le x} (\log p)^2 + \sum_{pq \le x} \log p \log q = 2x \log x + O(x), \tag{1}$$

where p and q run over the primes. This is of course an immediate consequence of the prime number theorem. The point is that Selberg's in-